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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroaki YUKAWA

Serial No. : 09/592,013

Filed : June 12, 2000

For : OPTICAL HEAD, OPTICAL RECORDING AND/OR  
REPRODUCING APPARATUS AND INTEGRATED OPTICAL  
MODULE

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Examiner : Kimlien T. Le

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Name of Applicant, Assignee or  
Registered Representative

Bruno Polito

Signature

June 4, 2004

Date of Signature

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(c)

AND CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents  
P.O. Box 1450  
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Sir:

In compliance with the duty of disclosure set forth in  
37 C.F.R. 1.56, applicant is filing this Information Disclosure  
Statement and the accompanying form PTO-1449 along with copies of

the prior art therein identified. Such prior art has come to the attention of applicant by being cited in a Search Report issued in the corresponding European application on April 14, 2004, a copy of which is enclosed. So far as applicant is aware, this Information Disclosure Statement is being filed before the mailing date of the final Office Action or Notice of Allowance.

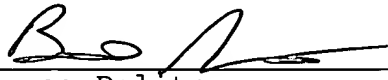
As is apparent in said Search Report, applicant's undersigned attorney hereby certifies pursuant to 37 C.F.R. 1.97(e) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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